

GAU 2122



WIL-107US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Applicant: William T. Wilkinson  
Serial No.: 09/771,286  
Filed: January 26, 2001  
For: RESEARCH AND  
DEVELOPMENT PROCESS

: Art Unit: 2122

: Examiner: Unknown MAY 23 2001

: Technology Center 2100

*[Signature]*

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

More than three months have elapsed since the filing of the above-referenced application. No first Office Action has yet been received and it is presumed that none has yet been mailed. Furthermore, all of the references disclosed herein were cited in a communication from a foreign patent office in a counterpart foreign application within the last three months. Accordingly, a certification, as specified in 37 C.F.R. § 1.97(c), is provided herewith. Therefore, no fee is required, even if an Official Action has been mailed.



It is noted that the PCT Search Report cites an article titled "Money Talks" (item 6 listed in the "Other Documents" section of Form 1449) with slightly different bibliographic information than the actual document supplied by the Search Authority. Form 1449 reflects what is believed to be the correct bibliographic information for the article actually provided by the Search Authority

#### CERTIFICATION

The undersigned, an attorney registered to practice before the U.S. Patent and Trademark Office, hereby certifies that each reference disclosed in the subject Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of this Information Disclosure Statement.

Respectfully submitted,

RATNER & PRESTIA

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Enclosures: PTO Form 1449;  
Copy of (12) References

Dated: May 18, 2001

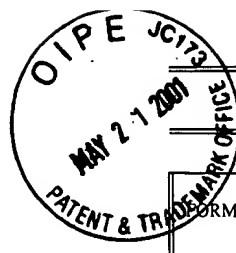
Ratner & Prestia  
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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.



I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: May 18, 2001

Ruth Curran  
Ruth Curran



FORM PTO-1449

Sheet 1 of 1

<p style="text-align: right;">(Rev. 2-32)</p> <p>U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>Information Disclosure Statement by Applicant</p> <p>(Use several sheets if necessary)</p>	<p>ATTY. DOCKET NO.</p> <p>WIL-107US</p>	<p>SERIAL NO</p> <p>09/771,286</p>	<p><b>RECEIVED</b></p> <p>MAY 23 2001</p> <p>Technology Center 2100</p>

## **U.S. PATENT DOCUMENTS**

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	5,239,460	08/1993	LaRoche	364	401	
	5,483,444	01/1996	Heintzman et al.	364	401	
	5,537,314	07/1996	Kanter	364	406	
	6,055,511	04/2000	Luebbering et al.	705	14	
	6,061,660	05/2000	Eggleston et al.	705	14	

## **FOREIGN PATENT DOCUMENTS**

Exmr Initial		Document Number	Date	Country	Class	Sub Class	Translation YES   NO

## **OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

		1)	"Flowing Past Organizational Walls, A Change Agent Offers Five Steps for Improving Cross-Functional Collaboration," by Sandra S. Donovan, <i>Research • Technology Management</i> , July-August 1993, pp 30-31
		2)	"Improving the Cost and Schedule Control System," by Mohammed S. El-Mashaleh and Dr. Allan D. Chasey, PE, <i>Cost Engineering</i> , Vol. 41/No. 7, July 1999, pp 39-41
		3)	"Locus of Control and Incentive in Self-Managing Teams," by Bonnie E. Garson, Douglas J. Stanwyck, <i>Human Resource Development Quarterly</i> , Vol. 8, No. 3, Fall 1997, pp 247-258
		4)	"Moral Hazard, Ethical Considerations, and the Decision to Implement an Information System," by Brad Tuttle, Adrian Harrell, and Paul Harrison, <i>Journal of Management Information System</i> , Spring 1997, Vol. 13, No. 4, pp 7-27
		5)	"Project Teams: The Role of the Project Office," by Quentin W. Fleming and Joel M. Koppelman, PE, <i>Cost Engineering</i> , Vol. 40, No. 8, August 1998, pp 33-36
		6)	"Money Talks," by Mark H. Edwards, <i>Small Business Reports</i> , New York, Feb. 1992, pp 50-58
		7)	International Search Report dated April 26, 2001 to Application No. PCT/US01/02528 (corresponding PCT application)

Examiner	Date Considered
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**Examiner:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.